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BUSINESS CONTINUITY PLAN DISCLOSURE STATEMENT

Enverra Capital LLC | June 2020

Enverra Capital LLC ("Enverra") recognizes the importance of consistency, resilience and careful planning in operating its broker-dealer business. To this end, the firm maintains a Business Continuity Plan defining how we will respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions is unpredictable, we recognize the need to be flexible in responding to actual events as they occur. With that in mind, we are providing you with this information on our business continuity plan.

Contacting Us – If, after a significant business disruption (SBD), you are unable to reach your usual contacts at Enverra, you should try to contact us through our main telephone number, (202) 429-1844, by reaching out to us by email at info@enverra.com, or go to our website, www.enverra.com, for further information.

Our Business Continuity Plan – Our plan is designed to aid in quick recovery and resumption of business operations after a significant business disruption, and respond by: communicating with our issuer and Investor clients, safeguarding our employees and property, conducting an overall impact assessment, protecting and/or restoring the integrity and availability of the Firm's systems, protecting the Firm's books and records, and allowing our clients to continue to transact business. In short, our Business Continuity Plan is designed to permit our Firm to resume operations as quickly as possible and as smoothly as possible, given the scope and severity of the significant business disruption.

For More Information – Enverra discloses a summary of its BCP to clients in writing upon request. The summary addresses the possibility of a future SBD and how the Firm plans to respond to events of varying scope. In addressing events of varying nature, the summary (i) provides specific scenarios of varying severity (e.g., a firm-only business disruption, a disruption to a single building, a disruption to a business district, a city-wide business disruption, and a regional disruption); (ii) indicates whether we plan to continue business in certain geographies during those scenarios and, if not, our anticipated recovery time; and (iii) offers general information on our intended responses to SBDs. Our summary discloses the existence of data back-up and recovery arrangements.